

COSC 2306

Data Programming

Sort

Insertion sort coding

```
def insertion_sort(a_list):
    for index in range(1, len(a_list)): #index of pass
        current_value = a_list[index]
        position = index
        while position > 0 and a_list[position - 1] > current_value:
            #index of comparison during insertion
            a_list[position] = a_list[position - 1]
            position = position - 1
        a_list[position] = current_value

a_list = [54, 26, 93, 17, 77, 31, 44, 55, 20]
insertion_sort(a_list)
print(a_list)
```

Insertion Sort

- Analysis
 - Worst case: $O(n^2)$
 - Insertion sort is better than selection sort when the array is partially sort or almost sort
 - Memory friendly (adjacent elements)
 - For small arrays
 - Insertion sort is appropriate due to its simplicity
 - For large arrays
 - Insertion sort is still slow

Shell Sort

Shell sort description

- **Shell sort:** orders a list of values by comparing elements that are separated by a gap of >1 indexes
 - a generalization of insertion sort
 - invented by computer scientist Donald Shell in 1959
- based on some observations about insertion sort:
 - insertion sort runs fast if the input is almost sorted
 - insertion sort's weakness is that it swaps each element just one step at a time, taking many swaps to get the element into its correct position
 - shell sort allows swap in a bigger but fewer steps

Shell sort

- How to reduce the number of item movements in insertion sort?
- List elements viewed as sublists at a particular distance
 - Each sublist sorted
 - Elements far apart move closer to their final position

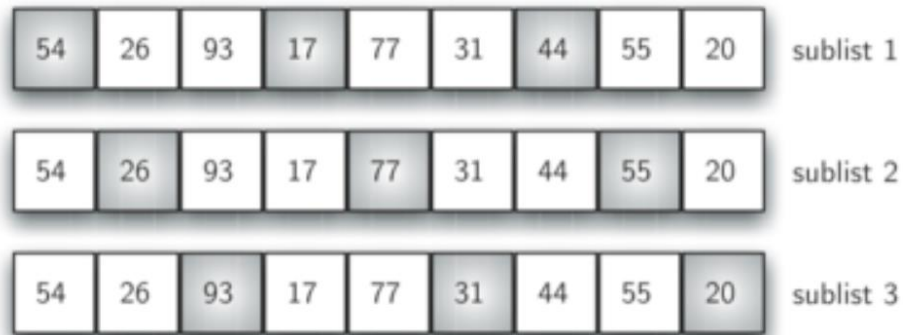


Figure 6: A Shell Sort with Increments of Three

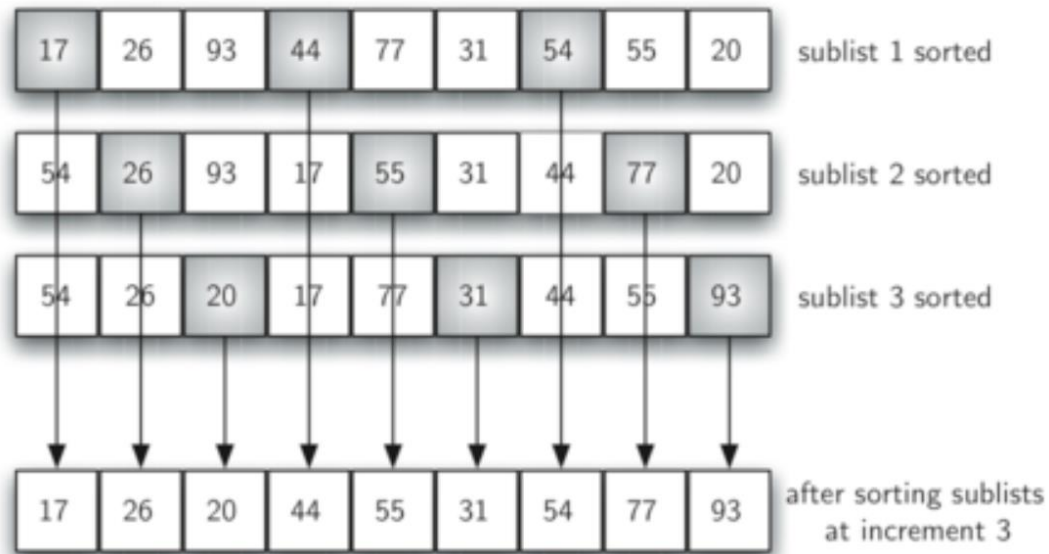


Figure 7: A Shell Sort after Sorting Each Sublist

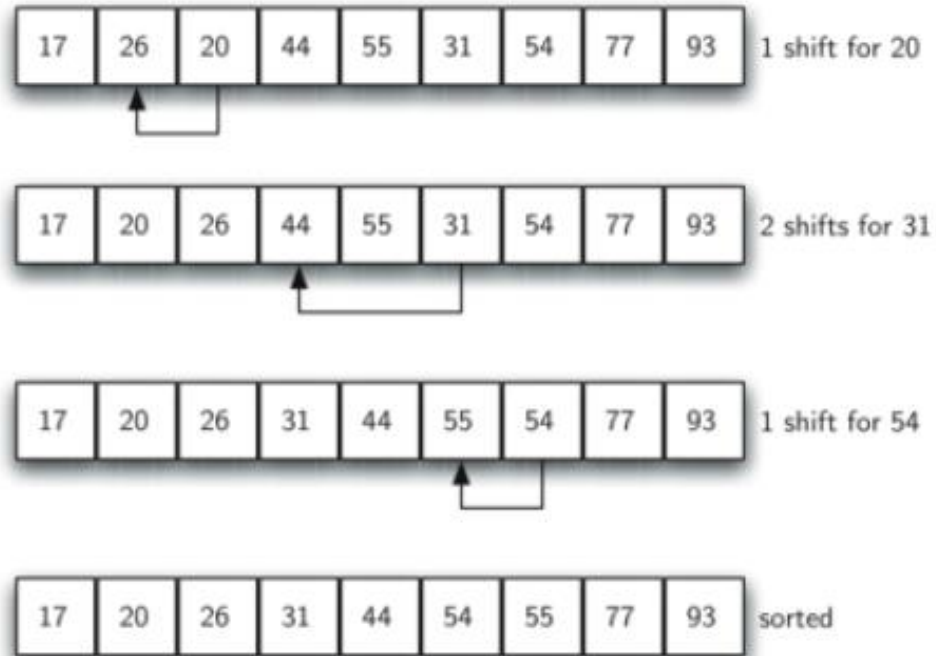


Figure 5.20: Shell Sort: A Final Insertion Sort with Increment of 1

Shell sort coding

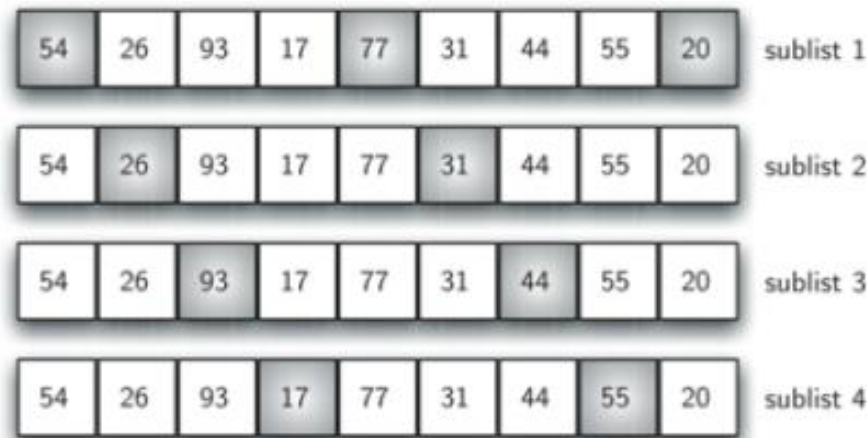


Figure 5.21: Initial Sublists for a Shell Sort

- Begin with $n/2$ sublists
 - On the next pass, $n/4$ sublists are sorted
 - Eventually, a single list is sorted with the basic insertion sort
- Naturally, a loop here to reduce the number of sublists

Use insertion sort for each sublist

Naturally, another loop here to loop over sublists

Shell sort coding

```
def shell_sort(a_list):
    sublist_count = len(a_list) // 2
    while sublist_count > 0:
        for pos_start in range(sublist_count):
            gap_insertion_sort(a_list, pos_start, sublist_count)
        sublist_count = sublist_count // 2

def gap_insertion_sort(a_list, start, gap):
    for index in range(start + gap, len(a_list), gap):
        current_value = a_list[index]
        position = index
        while position >= gap and a_list[position - gap] > current_value:
            a_list[position] = a_list[position - gap]
            position = position - gap
        a_list[position] = current_value

a_list = [54, 26, 93, 17, 77, 31, 44, 55, 20]
shell_sort(a_list)
print(a_list)
```

Shell sort analysis

The complexity falls somewhere between $O(n)$ and $O(n^2)$

Shell sort summary

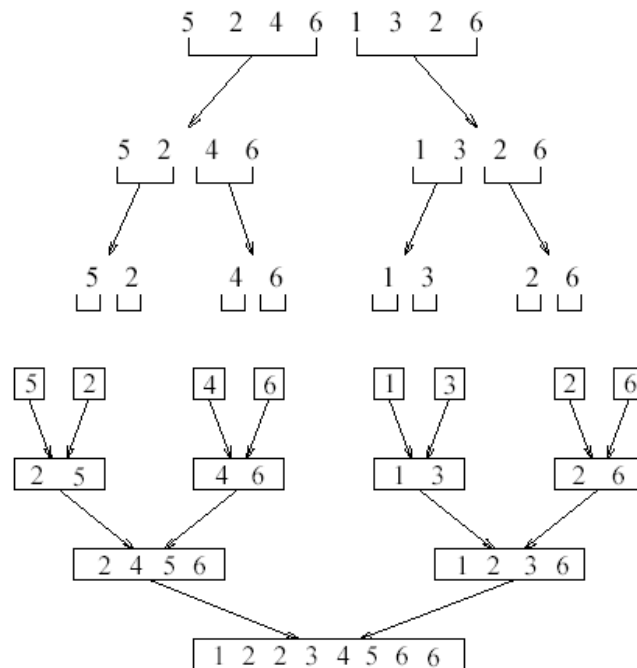
- Shell sort algorithm is a generalization of the insertion algorithm
- Two key advantages of shell sort:
 - Makes the list more ordered
 - Allows elements to be moved longer distance to reduce the swapping cost
- To determine the gap, we can use $n/2, n/4, \dots, n/i$ till $n/i = 1$ sublists
- Complexity is between $O(n)$ and $O(n^2)$

Merge Sort

Merge Sort: Main Idea

Based on divide-and-conquer strategy

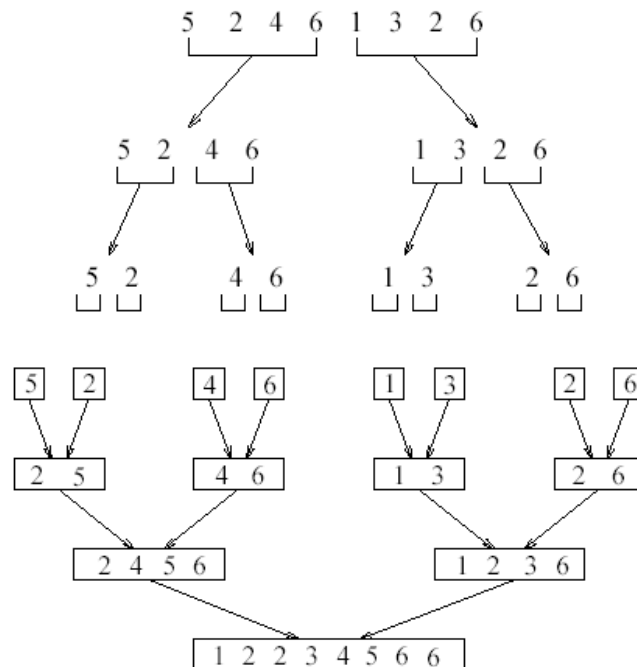
- Divide the list into two smaller lists of about equal sizes
- Sort each smaller list *recursively*
- Merge the two sorted lists to get one sorted list



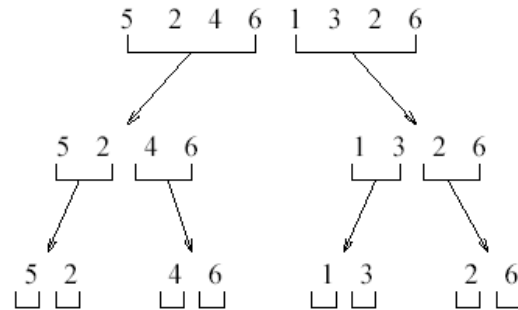
Merge Sort: Main Idea

Questions:

- How do we split the list?
- How do we merge the two sorted lists?



Split

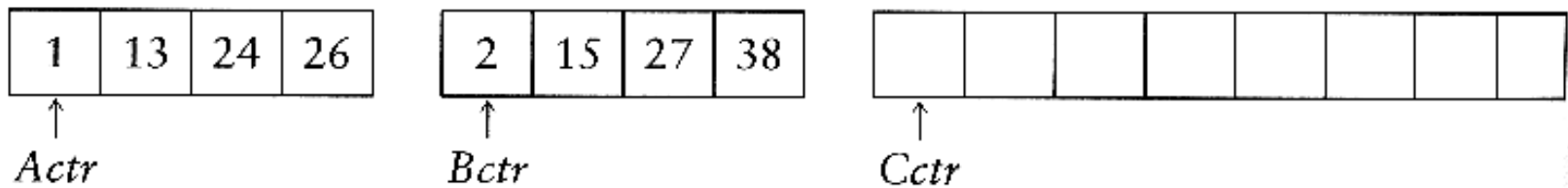


If the input list is an array $A[0..N-1]$:

- Represent a sub-array by two integers *left* and *right*.
- To divide $A[\textit{left} .. \textit{right}]$, compute $\textit{center} = (\textit{left} + \textit{right}) / 2$
- Obtain $A[\textit{left} .. \textit{center}]$ and $A[\textit{center} + 1 .. \textit{right}]$

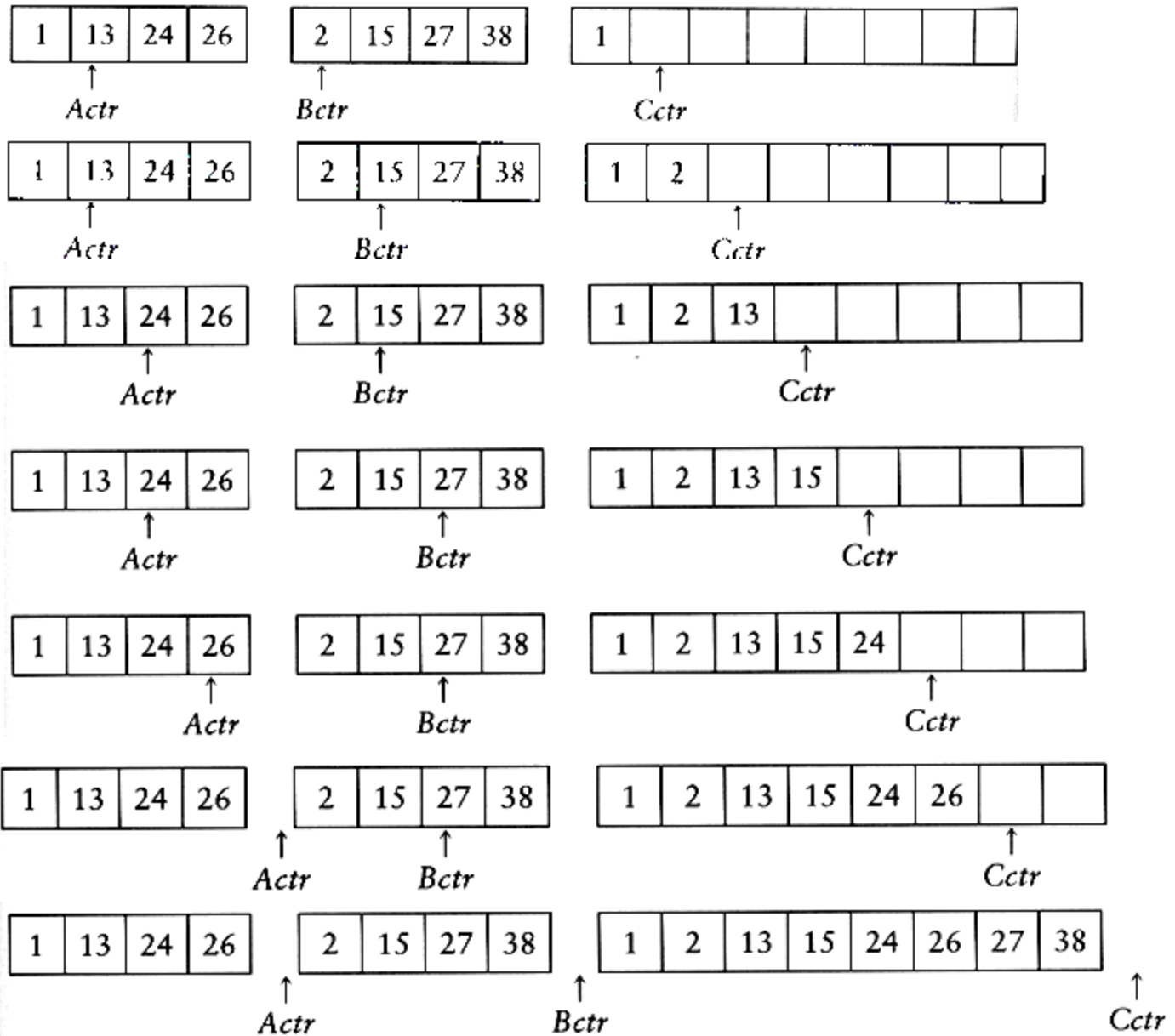
Merge

- Input: two sorted array A and B
- Output: an output sorted array C
- Three references: $Actr$, $Bctr$, and $Cctr$
 - initially set to the beginning of their respective arrays



- The smaller of $A[Actr]$ and $B[Bctr]$ is copied to the next entry in $C[Cctr]$, and the appropriate counters are advanced
- When either input list is exhausted, the remainder of the other list is copied to C directly

Merge: Example



Merge Sort Coding

- Write a recursive function `mergeSort(array)` to do merge sort.

Base case: if the array has only a single element, it is already sorted.

General case: length of array > 1 ; you split it into two halves, recursively sort each half (two recursive calls), then merge the two sorted halves into one sorted array.

Merge Sort Coding

```
def mergeSort(array):
    if len(array) <= 1: #base case
        return array
    else: #general case
        mid = len(array)//2
        L = array[:mid]
        R = array[mid:]
        #Sort the two halves
        mergeSort(L)
        mergeSort(R)
        #Until reach end of L/R, pick larger
        #between L and R and place in merged
        a = b = c = 0 #a for L, b for R, c for merged
        while a < len(L) and b < len(R):
            if L[a] < R[b]:
                array[c] = L[a]
                a += 1
            else:
                array[c] = R[b]
                b += 1
            c += 1
        #When we run out of L or R,
        #put the remaining directly
        #into merged
        while a < len(L):
            array[c] = L[a]
            a += 1
            c += 1
        while b < len(R):
            array[c] = R[b]
            b += 1
            c += 1
        return array
```

Analysis of Merge Sort

- Divide step: $O(1)$
- Conquer step: $2 \times T(N/2)$ time
- Combine step: $O(N)$ time

- Recursive equation: $T(N) = 2T(N/2) + N$

- Recursion: $T(N) = 2[2T(N/4) + N/2] + N = \dots = 2^k T(N/2^k) + kN$

- Solve it by let $N/2^k = 1$, then $k = \log(n)$ (binary search)

- We have $T(N) = N + N \log N$

- Overall complexity: $O(n \log n)$